

**FINANCE 661 – PROFESSIONAL FINANCIAL PLANNING
FALL 2006**

INSTRUCTOR: Dr. John R. Graham, Professor (532-3184) and jgraham@ksu.edu
Office Hours: Tuesday and Thursday 10:45-11:45a.m. and by appointment
Calvin 110B

COURSE: Professional Financial Planning (FINAN 661)
Tuesday and Thursday 9:30- 10:45 am, Calvin 218

TEXT: Personal Financial Planning: Theory and Practice, Fourth Edition,
by Dalton & Dalton (Kaplan Financial, publisher)

COURSE OBJECTIVE: To introduce students to professional financial planning for individuals and families through an integrated financial planning model. The course addresses all major personal financial planning challenges including basic planning principles, taxes, asset management, debt management, risk management including insurance, investments, retirement and estate planning. Current planning tools and techniques are emphasized.

PREREQUISITES: FINAN 510 and FINAN 520 are required prerequisites for this class. If you have not met prerequisites, the Finance Department has the right to drop you from the class.

STUDENT RESPONSIBILITIES: Read the textbook and other reading assignments; complete problems and papers as assigned; take two examinations as scheduled; and successfully complete both the mid-term and final course projects.

UNIVERSITY HONOR SYSTEM: Kansas State University has an Undergraduate Honor System based on personal integrity which is presumed to be sufficient assurance that in academic matters one's work is performed honestly and without unauthorized assistance. Undergraduate students, by registration, acknowledge the jurisdiction of the Undergraduate Honor System. The policies and procedures of the Undergraduate Honor System apply to all full and part-time students enrolled in undergraduate courses on-campus, off-campus, and via distance learning.

An important part of the Honor System is the inclusion of the Honor Pledge which applies to all assignments, examinations or other course work undertaken by undergraduate students. The Honor Pledge is implied, whether or not it is stated: "On my honor, as a student, I have neither given nor received unauthorized aid on this academic work".

A grade of XF can result from a breach of academic honesty. An XF would be failure of the course with the X on the transcript indicating failure as a result of breach of academic honesty.

For more information, please visit the Honor System web page at: <http://www.ksu.edu/honor>.

ACADEMIC ACCOMODATIONS FOR STUDENTS WITH DISABILITIES: If you have any condition, such as a physical or learning disability, which will make it difficult for you to carry out the work as outlined or which will require academic accommodations, please notify me as soon as possible.

TENTATIVE SCHEDULE AND GRADING SUMMARY:

Aug. 22	Introduction to the course
Aug. 24	Chapter 1
Aug. 29 & 31	Chapter 2
Sept. 5 & 7	Chapter 4 (begin mid-term project)
Sept. 12 & 14	Chapter 5
Sept. 19	Chapter 6
Sept. 21 & 26	Chapter 8
Sept. 28 & Oct. 3	Chapter 9
Oct. 5 & 10	Chapter 10 plus pages 348-350
Oct. 12 & 17	Supplemental Topics/Review for Mid-term exam and project
Oct. 19	MID-TERM EXAM
Oct. 24 & 26	Chapter 13
Oct. 27	Mid-term Project DUE
Oct. 31 & Nov. 2	Chapter 12
Nov. 7 & 9	Chapter 12 & supplements
Nov. 14 & 16	Chapter 12 & supplements
Nov. 21 & 23	Chapter 15 (NOTE: Nov. 23 is Thanksgiving Holiday)
Nov. 28 & 30	Chapter 17
Dec. 1	Final Project Due
Dec. 5 & 7	Class wrap-up and review for final
Dec. 15	FINAL EXAM (2:00- 3:50pm)

GRADING:

A = 90 - 100%

B = 80 - 89

C = 70 - 79

D = 60 - 69

F = below 60%

POINTS INCLUDED IN FINAL GRADE*:

2 Exams @ 150 = 300

Mid-Term Project = 100

Term Project = 100

Total Points = 500

*Plus quizzes if required

PLEASE NOTE: For the Fall Semester 2006, the last day to drop a course without receiving a "W" is September 25, 2006, and the last day to drop a course is October 27, 2006.